REGULATORY STATUS REPORT

Example Ltd

Applicability, obligations matrix, deadlines and reporting readiness for NIS2, EU-DORA, CRA, AI Act and Data Act in one place.

Reference date 30/10/2026

5 of 5 regulations in scope · evidenced 24 %

Template as of 26/10/2026 (v1.0.0)

30/10/2026

Status

nothing overdue

5	25	24 %	0
REGULATIONS IN SCOPE	APPLICABLE OBLIGATIONS	EVIDENCED	OVERDUE

Status per regulation

REGULATION	CLASSIFICATION	EVIDENCED	OPEN ≤ 90 D	STATUS
NIS2 / NIS2UmsuCG (BSIG)	important entity	3/14	3	< 50 % evidenced
EU-DORA (Reg. 2022/2554)	indirect (ICT provider to financial entities)	0/1	0	< 50 % evidenced
Cyber Resilience Act	manufacturer	2/6	0	< 50 % evidenced
AI Act (incl. Digital Omnibus on AI)	in scope	1/3	1	< 50 % evidenced
Data Act	in scope	0/1	0	< 50 % evidenced

Status (Alev-B convention): red = overdue · amber = < 50 % evidenced · yellow = in progress · green = all applicable obligations evidenced.

Upcoming deadlines (12 months)

DATE	DEADLINE	OWNER	IN DAYS
30/11/2026 Monday	Business continuity, backup, recovery, crisis management § 30 Abs. 2 Nr. 3 BSIG (Art. 21 Abs. 2 lit. c)	Head of IT	31
02/12/2026 Wednesday	Prohibition check Art. 5	Legal	33
02/12/2026 Wednesday	Transparency obligations Art. 50 Abs. 1–4; Art. 111 Abs. 4	–	33
02/12/2026 Wednesday	AI Act: new prohibitions (ba)/(bb); Art. 50(2) for legacy systems VO (EU) 2024/1689 Art. 113 UAbs. 3 lit. a, Art. 111 Abs. 4	–	33
15/12/2026 Tuesday	AI literacy Art. 4	Head of IT	46
15/12/2026 Tuesday	Risk management measures and risk analysis, documented § 30 Abs. 1, Abs. 2 Nr. 1 BSIG (Art. 21 Abs. 1, 2 lit. a RL 2022/2555)	Information security	46

Reporting and management

Reporting readiness

REPORTING DUTY	RECIPIENT	APPLICABLE
NIS2 / § 32 BSIG – significant incident	joint BSI/BBK reporting point	yes
EU-DORA Art. 19 – major ICT-related incident	competent authority (DE: BaFin)	no
CRA Art. 14 – actively exploited vulnerability / severe incident	CSIRT coordinator and ENISA via the single reporting platform	yes
AI Act Art. 73 – serious incident (high-risk providers)	market surveillance authority of the Member State where it occurred	no

Readiness questions: 6/6 answered · 67 % met · last drill: 19/10/2026

Management duties

ID	OBLIGATION	STATUS	OWNER
NIS2-13	Implementation and oversight by management § 38 Abs. 1, 2 BSIG (Art. 20 Abs. 1)	in progress	Management board
NIS2-14	Regular management training § 38 Abs. 3 BSIG (Art. 20 Abs. 2)	open	Management board

Supply chain and third parties

ICT third-party register	**not created**
Vendor-Assessment	**not created**

0 of 2 applicable obligations evidenced or justified as not applicable; open ones below.

ID	OBLIGATION	STATUS	OWNER
NIS2-05	Supply chain security § 30 Abs. 2 Nr. 4 BSIG (Art. 21 Abs. 2 lit. d, Abs. 3)	open	Procurement
EUDORA-15	As ICT provider: meet customers' Art. 30 requirements Art. 30	in progress	Legal

AI, decisions, sources

AI use

AI inventory	**not created**

1 of 3 applicable obligations evidenced or justified as not applicable; open ones below.

ID	OBLIGATION	STATUS	OWNER
AIA-01	AI literacy Art. 4	in progress	Head of IT
AIA-03	Transparency obligations Art. 50 Abs. 1–4; Art. 111 Abs. 4	open	–

Decisions required

ID	OBLIGATION	REASON
NIS2-06	Security in acquisition, development, maintenance incl. vulnerability management	owner missing
NIS2-07	Assess effectiveness of measures	owner missing
NIS2-08	Training and awareness (cyber hygiene)	owner missing
NIS2-09	Cryptography	owner missing
NIS2-10	HR security, access control, asset management	owner missing
NIS2-11	MFA and secured communications	owner missing

+ 5 more in the obligations matrix (Excel)

Sources and status

> Orientation and self-assessment based on the primary sources cited (as of 26 Oct 2026). Does not replace legal advice or proof of compliance; the text published in the Official Journal or Federal Law Gazette prevails.

Content as of 26 Oct 2026 (version 1.0.0). The template is reviewed quarterly against the primary sources; changes to laws, delegated acts and supervisory notices (e.g. CTPP list, submission windows) can shift obligations and deadlines.

Legal bases: Directive (EU) 2022/2555; BSIG of 02.12.2025 (BGBl. 2025 I No. 301) · Regulation (EU) 2022/2554 · Regulation (EU) 2024/2847 · Regulation (EU) 2024/1689 as amended by Regulation (EU) 2026/1744 · Regulation (EU) 2023/2854 — plus 11 further primary sources (delegated acts, supervisors); full list with addresses and retrieval date in the Excel export ("Sources" sheet).